

## NPTEL—Linear Algebra, July–Oct 2022 (Repeat)

### Week 5

#### Exercise Set 5 : Dimension of vector spaces

Submit solutions of the ANY TWO blue coloured \*Exercises ONLY

Let  $K$  be arbitrary field and let  $\mathbb{K}$  denote either the field  $\mathbb{R}$  or the field  $\mathbb{C}$ .

\*5.1 Let  $n \in \mathbb{N}$ ,  $n \geq 2$ . Determine whether or not the vectors

(a)  $(1, 1, \dots, 1), (1, 2, 1, \dots, 1), \dots, (1, \dots, 1, n)$  form a basis of  $\mathbb{R}^n$  (resp.  $\mathbb{Q}^n$ ).

(b)  $(-(n-1), 1, \dots, 1), (1, -(n-1), 1, \dots, 1), \dots, (1, \dots, 1, -(n-1))$  form a basis of  $\mathbb{R}^n$  (resp.  $\mathbb{Q}^n$ ).

5.2 Let  $K$  be a finite field with  $q$  elements.

(a) The multiples  $m \cdot 1_K$ ,  $m \in \mathbb{Z}$ , form a subfield  $K'$  of  $K$ .

(b) There exists a smallest positive natural number  $p$  such that  $p \cdot 1_K = 0$ . Moreover, it is prime (and is called the characteristic of  $K$ —denoted by  $\text{Char } K$ ). The subfield  $K' \subseteq K$  contains exactly  $p$  distinct elements  $0, 1_K, \dots, (p-1)1_K$ .

(c) Show that  $q = p^n$  with  $n := \text{Dim}_{K'} K$ . (**Remark:** The number of elements in a finite field is a power of a prime number. Conversely, for a given prime-power  $q$ , there exists (essentially unique) field with  $q$  elements.)

5.3 Let  $\omega \in \mathbb{R}_+^\times$  be a fixed positive real number. For  $a \in \mathbb{R}$  and  $\varphi \in \mathbb{R}$ , let  $f_{a,\varphi} : \mathbb{R} \rightarrow \mathbb{R}$  be the function defined by  $t \mapsto a \sin(\omega t + \varphi)$  and let  $W := \{f_{a,\varphi} \mid a, \varphi \in \mathbb{R}\}$ . Then  $W$  is a  $\mathbb{R}$ -subspace of the  $\mathbb{R}$ -vector space  $\mathbb{R}^{\mathbb{R}}$  of all  $\mathbb{R}$ -valued functions on  $\mathbb{R}$ .

(a) Find a  $\mathbb{R}$ -basis of the  $\mathbb{R}$ -subspace  $W$ . What is the dimension  $\text{Dim}_{\mathbb{R}} W$ ?

(**Hint:** The functions  $t \mapsto \sin \omega t$  and  $t \mapsto \cos \omega t = \sin(\omega t + \pi/2)$  form a basis of  $W$ .

—**Remark:** Elements of  $W$  are called harmonic oscillations with the circular frequency  $\omega$ .)

(b) Show that every  $f \neq 0$  function in  $W$  has a unique representation

$$f(t) = a \sin(\omega t + \varphi), \quad a > 0 \quad \text{and} \quad 0 \leq \varphi < 2\pi.$$

(**Remark:** This unique  $a$  is called the amplitude and  $\varphi$  is called the phase angle of  $f$ . The zero function has the amplitude 0 and an arbitrary phase angle.)

(c) From the amplitudes and the phase angles of two harmonic oscillations  $f$  and  $g$ , compute the amplitudes and the phase angles of the functions  $f \pm g$ .

5.4 Let  $V$  be a  $K$ -vector space of dimension  $n \in \mathbb{N}$ .

(a) If  $H_1, \dots, H_r$  are hyper-planes in  $V$ , then show that  $\text{Dim}_K(H_1 \cap \dots \cap H_r) \geq n - r$ .

(b) If  $U \subseteq V$  is a subspace of codimension  $r$ , then show that there exist  $r$  hyper-planes  $H_1, \dots, H_r$  in  $V$  such that  $U = H_1 \cap \dots \cap H_r$ .

**5.5** Let  $K$  be a field and let  $a_0, \dots, a_m \in K$ ,  $a_m \neq 0$ . Show that the subset

$$V(a_0, \dots, a_m) := \{(x_n)_{n \in \mathbb{N}} \in K^{\mathbb{N}} \mid a_0 x_n + a_1 x_{n+1} + \dots + a_m x_{n+m} = 0 \text{ for all } n \in \mathbb{N}\}$$

is a subspace of  $K^{\mathbb{N}}$  of the dimension  $m$ . (**Hint:** Let  $e_n := (\delta_{i,n})_{i \in \mathbb{N}}$ ,  $n \in \mathbb{N}$  and  $s: K^{\mathbb{N}} \rightarrow K^{\mathbb{N}}$ ,  $e_n \mapsto e_{n+1}$ ,  $n \in \mathbb{N}$ , denote the shift operator on  $K^{\mathbb{N}}$ . Then  $(x_n)_{n \in \mathbb{N}} \in V(a_0, \dots, a_m)$  if and only if  $(x_n)_{n \in \mathbb{N}} \in \text{Ker } \alpha(s)$ . Moreover, the operator  $\alpha(s): K^{\mathbb{N}} \rightarrow K^{\mathbb{N}}$  is surjective and the map  $\text{Ker } \alpha(s) \rightarrow K^m$ ,  $(x_n)_{n \in \mathbb{N}} \mapsto (x_0, x_1, \dots, x_{m-1})$  is an isomorphism of  $K$ -vector spaces.

–**Remark:** We say that a sequence  $(x_n)_{n \in \mathbb{N}} \in K^{\mathbb{N}}$  satisfy the (linear) recursion equation with (recursion) polynomial  $\alpha(X) = a_0 + a_1 X + \dots + a_m X^m \in K[X]$  if the sequence  $(x_n)_{n \in \mathbb{N}} \in V(a_0, \dots, a_m)$ . If  $K$  is algebraically closed (for example, if  $K = \mathbb{C}$ ), then one can also find a  $K$ -basis of  $V(a_0, \dots, a_m)$  in by using the zeros of the polynomial  $\alpha(A)$ .

\***5.6** Let  $x_1 = (a_{11}, \dots, a_{1n}), \dots, x_n = (a_{n1}, \dots, a_{nn})$  be elements of  $\mathbb{K}^n$  with

$$|a_{ii}| > \sum_{j=1, j \neq i}^n |a_{ji}| \quad \text{for all } i = 1, \dots, n.$$

Show that  $x_1, \dots, x_n$  is a basis of  $\mathbb{K}^n$ .

(**Hint:** It is enough to show the linear independence of  $x_1, \dots, x_n$ . If  $b_1 x_1 + \dots + b_n x_n = 0$  with  $|b_i| \leq 1$  for all  $i$  and  $b_{i_0} = 1$  for some  $i_0$ . This already contradicts the give condition for  $i_0$ .)

**5.7** Let  $V$  be a finite dimensional  $K$ -vector space and let  $U$  be a subspace of  $V$ . Let  $u_1, \dots, u_m$  be a basis of  $U$  and let  $u_1, \dots, u_m, u_{m+1}, \dots, u_n$  be an extended basis of  $V$ . Show that

$$x = a_1 u_1 + \dots + a_m u_m + b_{m+1} u_{m+1} + \dots + b_n u_n \in V$$

is an element of  $U$  if and only if the coordinates  $b_{m+1} = u_{m+1}^*(x), \dots, b_n = u_n^*(x)$  of  $x$  with respect to the basis  $u_1, \dots, u_n$  of  $V$  are zero. (**Remark:** This is the most common method of characterizing the elements of a subspace.)

\***5.8** Let  $x_1, \dots, x_n \in \mathbb{Z}^n$  be arbitrary vectors with integer components. For every  $\lambda \in \mathbb{Q} \setminus \mathbb{Z}$ , the vectors  $x_1 + \lambda e_1, \dots, x_n + \lambda e_n$  form a basis of  $\mathbb{Q}^n$ .

(**Hint:** Suppose  $a_1(x_1 + \lambda e_1) + \dots + a_n(x_n + \lambda e_n) = 0$  with  $a_1, \dots, a_n \in \mathbb{Z}$  and  $\gcd(a_1, \dots, a_n) = 1$  and use  $\lambda \in \mathbb{Q} \setminus \mathbb{Z}$  to contradict  $\gcd(a_1, \dots, a_n) = 1$ .)

\***5.9** Let  $K$  be a field with at least  $n$  elements,  $n \in \mathbb{N}^*$  and  $V$  be a finite dimensional  $K$ -vector space. Let  $U_1, \dots, U_n$  be subspaces of  $V$  of equal dimension  $r$  and  $u_{1i}, \dots, u_{ir}$  be a basis of  $U_i$  for  $i = 1, \dots, n$ . Show that there exists  $t := \text{Dim}_K V - r$  vectors  $w_1, \dots, w_t \in V$  such that which simultaneously extend the given bases  $u_{1i}, \dots, u_{ir}$  of  $U_i$  to a basis  $u_{11}, \dots, u_{ir}, w_1, \dots, w_t$  of  $V$  for every  $i = 1, \dots, n$ . (**Hint** Use Exercise 2.2.).

**5.10** Let  $K$  be a field and  $F = a_0 + a_1 X + \dots + a_n X^n \in K[X]$  be a polynomial of degree  $\deg F = n$ ,  $n \in \mathbb{N}$ . Suppose that the multiples  $m \cdot 1_K$  are all  $\neq 0$  for all  $m \in \mathbb{N}^*$ , i. e.  $\text{Char } K = 0$ , see Exercise 5.2 (b). For example,  $K = \mathbb{Q}$ ,  $\mathbb{R}$  and  $K = \mathbb{C}$  have this property. For pairwise distinct elements  $\lambda_0, \dots, \lambda_n \in K$ , the polynomials  $F(X - \lambda_0), \dots, F(X - \lambda_n) \in K[X]_{n+1}$  form a  $K$ -basis of the  $K$ -vector space  $K[X]_{n+1}$  of polynomials of degree  $\leq n$  over  $K$ . In particular, the polynomials  $(X - \lambda_0)^n, \dots, (X - \lambda_n)^n$  form a basis of  $K[X]_{n+1}$ .

(**Hint:** Since  $\text{Dim}_K K[X]_{n+1} = n + 1$  and hence it is enough to prove the linear independence of  $F(X - \lambda_0), \dots, F(X - \lambda_n)$  over  $K$  which is proved in Exercise 4.6 (b).)